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EXAMINER

SU, SARAH

ART UNIT

PAPER NUMBER

2431

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DELIVERY MODE

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/519,853	Applicant(s) MIURA ET AL.	
	Examiner Sarah Su	Art Unit 2431	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 21 November 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-4,6-10,13-18,20-26,28-34 and 36-38 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-4,6-10,13-18,20-26,28-34 and 36-38 is/are rejected.
- 7) ☒ Claim(s) 16 and 22 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

FINAL ACTION

1. Amendment A, received on 21 November 2008, has been entered into record. In this amendment, claims 1-4, 6-10, 13-18, 20-26, 28-34, and 36-38 have been amended, claims 5, 19, 27, and 35 have been cancelled.
2. Claims 1-4, 6-10, 13-18, 20-26, 28-34, and 36-38 are presented for examination.

Response to Arguments

3. With regards to the objection to the drawings, the applicant has submitted replacement sheets, and the examiner hereby withdraws the objection.
4. Applicant's arguments filed 21 November 2008 have been fully considered but they are not persuasive.

As to claims 1, 8-10, 13-15, 22, 23, 30, and 31, it is argued by the applicant that Win does not teach a network location of the authentication server being received by the display means. The examiner respectfully disagrees. Win discloses that an administrator enters (i.e. being received) the location of an authentication server on an administration screen (i.e. display means) (col. 7, lines 58-60).

Priority

5. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d).

Claim Objections

6. Claims 16 and 22 are objected to because of the following informalities:

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- a. In claim 16, lines 8 and 10: “electronic information” is unclear if it relates to “electronic information” (claim 15, line 7);
- b. In claim 22, line 11: “electronic information” is unclear if it relates to “electronic information” (claim 22, line 2).

Appropriate correction is required.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

8. Claims 1-4, 6-10, 13-18, 20-26, 28-34, and 36-38 are rejected under 35 U.S.C. 102(b) as being anticipated by Win et al. (US Patent 6,182,142 B1 and Win hereinafter).

As to claims 1, 9, and 13, Win discloses a system and method for distributed access management of information resources, the system and method having:

display means (i.e. browser) (100, Figure 1);

mediating means (i.e. runtime module) (Abstract, line 6);

authentication-information transmitting means (i.e. access server)

(106, Figure 1);

the display means having (i) a display function for displaying electronic information provided through a network so that a user can

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browse the information (i.e. menu) (col. 6, lines 17-19), **(ii) a specifying-information receiving function for receiving specifying information** (i.e. select resource) **for specifying the mediating means** (col. 6, lines 17-23), and **(iii) a specifying function for specifying the mediating means using the received specifying information** (col. 6, lines 22-23);

the mediating means (i.e. runtime module) **being operable to start the authentication-information transmitting means when specified by the specifying function** (i.e. request) (Abstract, lines 6-8);

the authentication-information transmitting means being operable to transmit device-authentication information (i.e. name and password) **to an authentication server** (i.e. registry server) (col. 9, lines 65-67);

authentication being performed by an authentication server (col. 12, lines 24-29, 33-36), and **a network location of the authentication server being received by the computer** (col. 7, lines 58-60).

As to claim 2, Win discloses:

authentication-result receiving means for receiving an authentication result from the authentication server (i.e. registry server) (col. 9, line 67; col. 10, lines 1-2);

authentication-result transmitting means for transmitting the received authentication result (i.e. tokens) **to a providing server** (i.e. resources on protected server) **operable to provide electronic information** (col. 2, lines 39-40);

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electronic-information receiving means for receiving the electronic information transmitted (i.e. granting access) from the providing server (i.e. resources) based on the transmitted authentication result (col. 3, lines 36-37).

As to claim 3, Win discloses:

where the mediating means (i.e. runtime module) has a using function for using the electronic information (i.e. cookies) received from the providing server (i.e. protected server) (col. 7, lines 1-3, 62-63).

As to claim 4, Win discloses:

requesting means for sending a request (i.e. login request) for electronic information to the providing server (i.e. protected server) (Abstract, lines 6-7; col. 3, lines 33-34);

where the specifying-information receiving function receives the specifying information (i.e. selected resource) transmitted from the providing server (i.e. resource on protected server) based on the request for electronic information (col. 6, lines 17-23).

As to claim 6, Win discloses:

where the device-authentication information is transmitted to a plurality of authentication servers (i.e. registry server), network locations of the respective authentication servers are received by the display means, and the network locations are ranked in an access precedence order (i.e. access 2nd if 1st is busy) (col. 4, lines 65-67; col. 5, line 1; col. 7, lines 58-60).

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As to claim 7, Win discloses:

the specifying-information receiving function receives the specifying information including access information (i.e. name and password) used to access the providing server (col. 9, lines 63-65);

the authentication-result transmitting means accesses the providing server using the received access information (col. 9, lines 65-67).

As to claims 8, 10, and 14, Win discloses:

transmitting specifying information for specifying the mediating means from the specifying-information transmitting means to the display means of the terminal (col. 6, lines 17-23), the mediating means using the specifying information to start the authentication-information transmitting means (Abstract, lines 6-7);

receiving in the authentication-result receiving means an authentication result (i.e. cookie) sent from the terminal device (i.e. browser) (col. 11, lines 9, 12-13);

transmitting electronic information from the electronic-information transmitting means to the terminal device based on the received authentication result (col. 2, lines 38-40);

authentication being performed by an authentication server (col. 12, lines 24-29, 33-36), and a network location of the authentication server being received by the display means (col. 7, lines 58-60).

As to claims 15, 23, and 31, Win discloses:

information communication means (i.e. browser) (100, Figure 1);

electronic-information using means (i.e. runtime module) (Abstract, line 6);

authentication-information management means (i.e. access server) (106, Figure 1);

the information communication means having (i) a function for receiving authentication trigger information (i.e. select resource) **required for using electronic information provided through a network** (col. 6, lines 17-23), **(ii) a function for analyzing** (i.e. determine) **specifying information for specifying the electronic-information using means based on the authentication-trigger information** (i.e. request) (Abstract, lines 9-10), **and (iii) a specifying function for specifying the electronic-information using means based on the specifying information** (col. 6, lines 22-23);

the electronic-information means (i.e. runtime module) **being operable to start the authentication-information management means when specified by the specifying function** (i.e. request) (Abstract, lines 6-8);

the authentication-information management means being operable to read device-authentication information (i.e. name and password), **and to transmit the device-authentication information to an authentication server** (i.e. registry server) (col. 9, lines 65-67);

a network location of the authentication server being received by the information communication means (col. 7, lines 58-60).

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As to claims 16, 24, and 32, Win discloses:

the authentication-information management means (i.e. access server) **receives an authentication result from the authentication server** (i.e. registry server) (col. 9, line 67; col. 10, lines 1-2);

the electronic-information using means transmits the authentication result (i.e. tokens) **to a providing server operable to provide the electronic information** (col. 2, lines 39-40);

the information communication means receives the electronic information (i.e. granting access) **transmitted from the providing server** (i.e. resources) **based on the transmitted authentication result** (col. 3, lines 36-37).

As to claims 17, 25, and 33, Win discloses:

where the electronic-information using means has a using function for using the electronic information (i.e. cookies) **received from the providing server** (i.e. protected server) (col. 7, lines 1-3, 62-63).

As to claims 18, 26, and 34, Win discloses:

where the electronic-information using means is operable to enable (i.e. display) **a user to browse the electronic information** (col. 6, lines 17-19), **to play back electronic information as music content, or to play back electronic information as moving-picture content** (i.e. applet) (col. 5, lines 27-28).

As to claims 20, 28, and 36, Win discloses:

wherein the device-authentication information is transmitted to a plurality of authentication servers (i.e. registry server), network locations of the respective authentication servers are received by the information communication means, and the network locations are ranked in an access precedence order (i.e. access 2nd if 1st is busy) (col. 4, lines 65-67; col. 5, line 1; col. 7, lines 58-60).

As to claim 21, 29, and 37, Win discloses:

the authentication-trigger information includes providing-server access information (i.e. name and password) used to access the providing server (col. 9, lines 63-65), and the step of transmitting the authentication result from the authentication-information management means to the providing server using the providing-server access information (col. 9, lines 65-67).

As to claims 22, 30, and 38, Win discloses:

authentication-trigger-information transmitting means for transmitting, to the terminal device (i.e. browser), authentication-trigger information (i.e. selected resource) including specifying information for specifying electronic-information using means (col. 6, lines 17-23);

authentication-result receiving means for receiving an authentication result (i.e. cookie) from the terminal device (i.e. browser) (col. 11, lines 9, 12-13);

electronic-information providing means for providing electronic information (i.e. tokens) to the terminal device (i.e. client) based on the received authentication result (col. 2, lines 38-40);

authentication being performed by an authentication server (col. 12, lines 24-29, 33-36), and a network location of the authentication server being received by the terminal device (col. 7, lines 58-60).

Conclusion

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sarah Su whose telephone number is (571) 270-3835. The examiner can normally be reached on Monday through Friday 7:30AM-5:00PM EST..

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Sarah Su/
Examiner, Art Unit 2431

/Christopher A. Revak/
Primary Examiner, Art Unit 2431